



INFORMATION CITED BY APPLICANTS THAT MAY BE MATERIAL TO THE  
PROSECUTION OF THE SUBJECT APPLICATION

Applicants: T.P. McKee et al. Attorney Docket No.: MSFT121820  
Application No.: 10/692,324 Group Art Unit: 2183  
Filed: October 23, 2003  
Title: EXTENSIBLE USER CONTEXT SYSTEM FOR DELIVERY OF  
NOTIFICATIONS

U.S. PATENT DOCUMENTS

None.

FOREIGN PATENT DOCUMENTS

None.

OTHER INFORMATION

(Including Author, Title, Date, Pertinent Pages, Etc.)

\*Examiner Cite  
Initial No.

---

EP 01 Stelovsky, J., and C. Aschwanden, "Software Architecture for Unified  
Management of Event Notification and Stream I/O and Its Use for Recording  
and Analysis of User Events," *Proceedings of the 35th Annual Hawaii  
International Conference on System Sciences*, IEEE Computer Society, Big  
Island, Hawaii, January 7-10, 2002, p. 1862-1867.

Examiner

Date Considered

[Signature]

1/13/06

\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

GSF:lal

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>TM</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100



INFORMATION CITED BY APPLICANTS THAT MAY BE MATERIAL TO THE  
PROSECUTION OF THE SUBJECT APPLICATION

Applicants: T.P. McKee et al. Attorney Docket No.: MSFT121820  
Application No.: 10/692,324 Group Art Unit: 2183  
Filed: October 23, 2003  
Title: EXTENSIBLE USER CONTEXT SYSTEM FOR DELIVERY OF  
NOTIFICATIONS

U.S. PATENT DOCUMENTS

None.

FOREIGN PATENT DOCUMENTS

None.

OTHER INFORMATION

(Including Author, Title, Date, Pertinent Pages, Etc.)

*Examiner Initial	Cite No.	
<u>FP</u>	O2	"About Managing Messages With Rules," Microsoft® Outlook® 2003 Help file, 3 pp.
<u>FP</u>	O3	"Trillian / Trillian Pro IM Clients" Product Description, ©1999-2004 Cerulean Studios, < <a href="http://www.ceruleanstudios.com">http://www.ceruleanstudios.com</a> > [retrieved April 30, 2004].

Examiner

Date Considered

[Signature]

1/13/04

\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

GSF:lal

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

1 of 14

## **Complete if Known**

Application Number	10/692,324
Filing Date	October 23, 2003
First Named Inventor	T.P. MCKEE
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	003797.01266

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
FP		5,241,671		REED, et al.	08/1993	
FP		5,333,315		SAETHER, et al.	07/1994	
FP		5,499,364		KLEIN, et al.	03/1996	
FP		5,504,852		THOMPSON-ROHRICH	04/1996	
FP		5,696,486		POLQUIN, et al.	09/1997	
FP		5,802,516		SHWARTS, et al.	09/1998	
FP		5,831,606		NAKAJIMA, et al.	11/1998	
FP		5,838,317		BOLNICK, et al.	11/1998	
FP		5,875,446		BROWN, et al.	02/1999	
FP		5,878,410		ZBIKOWSKI, et al.	03/1999	
FP		5,899,995		MILLIER, et al.	05/1999	
FP		5,933,139		FEIGNER, et al.	08/1999	
FP		5,987,454		HOBBS	11/1999	
FP		5,987,506		CARTER, et al.	11/1999	
FP		6,003,040		MITAL, et al.	12/1999	
FP		6,014,137		BURNS	01/2000	
FP		6,021,262		COTE, et al.	02/2000	
FP		6,061,692		THOMAS, et al.	05/2000	
FP		6,061,695		SLIVKA, et al.	05/2000	
FP		6,078,924		AINSBURY, et al.	06/2000	
FP		6,147,601		SANDELMAN, et al.	11/2000	
FP		6,237,011		FERGUSON, et al.	05/2001	
FP		6,243,724		MANDER, et al.	06/2001	
FP		6,256,031		MEIJER, et al.	07/2001	
FP		6,275,829		ANGIULO, et al.	08/2001	

Examiner  
Signature

*[Handwritten Signature]*

Date  
Considered

11/13/06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/13/2005

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1448A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 14

### Complete if Known

Application Number	10/692,324
Filing Date	October 23, 2003
First Named Inventor	T.P. MCKEE
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	003797.01266

EP	6,324,551	LAMPING, et al.	11/2001
EP	6,339,767	RIVETTE, et al.	01/2002
EP	6,363,377	KRAVETS, et al.	03/2002
EP	6,401,097	MCCOTTER, et al.	06/2002
EP	6,430,575	DOURISH, et al.	08/2002
EP	6,411,311	RICH, et al.	06/2002
EP	6,466,238	BERRY, et al.	10/2002
EP	6,480,835	LIGHT	11/2002
EP	6,505,233	HANSON, et al.	01/2003
EP	6,513,038	HASEGAWA, et al.	01/2003
EP	6,539,399	HAZAMA, et al.	03/2003
EP	6,613,101	MANDER, et al.	09/2002
EP	6,636,238	AMIR, et al.	10/2003
EP	6,754,829	BUTT, et al.	06/2004
EP	6,762,776	HUAPAYA	07/2004
EP	6,768,999	PRAGER, et al.	07/2004
EP	6,823,344	ISENSEE, et al.	11/2004
EP	6,847,959	ARROUYE, et al.	01/2005
EP	6,853,391	BATES, et al.	02/2005
EP	6,865,568	CHAU	03/2005
EP	6,876,900	CZAJKOWSKI, et al.	04/2005
EP	6,876,996	CZAJKOWSKI, et al.	04/2005
EP	6,922,709	GOODMAN	07/2005
EP	2002/0019935	ANDREW, et al.	02/2002
EP	2002/0054167	HUGH	05/2002
EP	2002/0091697	HUANG, et al.	07/2002
EP	2002/0091679	WRIGHT	07/2002
EP	2002/0095416	SCHWOLS	07/2002
EP	2002/0111942	CAMPBELL, et al.	08/2002

Examiner Signature		Date Considered	11/13/06
--------------------	---	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/13/2005

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0591-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **3** of **14**

### Complete if Known

Application Number	10/692,324
Filing Date	October 23, 2003
First Named Inventor	T.P. MCKEE
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	003797.01266

FD	2002/0161800	ELD, et al.	10/2002
FD	2003/0225796	MATSUBARA	12/2003
FD	2004/0103280	BALFANZ, et al.	05/2004
FD	2004/0117358	VON KAENEL et al.	06/2004
FD	2004/0153451	PHILLIPS, et al.	08/2004
FD	2004/0205168	ASHER	10/2004
FD	2004/0249902	TADAYON, et al.	12/2004
FD	2005/0010860	WEISS, et al.	01/2005
FD	2004/0205633	MARTINEZ, et al.	10/2004
FD	2004/0205625	BANATWALA, et al.	10/2004
FP	2002/0087969	BRUNHEROTO, et al	07/2002
FD	2004/0098742	HSIEH, et al.	05/2004
FD	2004/0085581	TONKIN	05/2004
FD	2003/0210281	ELLIS, et al.	11/2003
FD	2005/0015405	PLASTINA, et al.	01/2005
FD	2004/0230599	MOORE, et al.	11/2004
FP	2004/0199507	TAWA	10/2004
FP	2004/0189704	WALSH, et al.	09/2004
FD	2003/0126212	MORRIS, et al.	07/2003
FP	2002/0075330	ROSENZWEIG, et al.	06/2002
FD	2002/0196276	CORL, et al.	12/2002
FP	2002/0075310	PRABHU, et al.	06/2002
FP	2002/0104069	GOUGE, et al.	08/2002
FP	2004/0193600	KAASTEN, et al.	09/2004
FP	2004/0189707	MOORE, et al.	09/2004
FP	2001/0193594	MOORE, et al.	09/2004
FP	2004/0070612	SINCLAIR, et al.	04/2004
FR	2004/0002993	TOUSSAINT, et al.	01/2004

Examiner Signature		Date Considered	11/17/05
--------------------	---	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/18/2005

Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 4 of 14

### Complete if Known

Application Number	10/692,324
Filing Date	October 23, 2003
First Named Inventor	T.P. MCKEE
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	003797.01266

FD	2003/0074356	KAIER, et al.	04/2003
FD	2002/0138582	CHANDRA, et al.	09/2002
FD	2003/0093531	YEUNG, et al.	05/2003
FD	2004/0193672	SAMJI, et al.	09/2004
FD	2002/0059199	HARVEY	05/2002
FD	2004/0133588	KIESSIG, et al.	07/2004
FD	2004/0215600	ARIDOR, et al.	10/2004
FD	2003/0233419	BERINGER	12/2003
FD	2005/0192953	NEALE, et al.	09/2005
FD	2004/0098379	HUANG	05/2004
FD	2004/0133572	BAILEY, et al.	07/2004
FD	2003/0110188	HOWARD, et al.	06/2003
FD	2001/0034771	HUTSCH, et al.	10/2001
FD	2003/0135495	VAGNOZZI	07/2003
FD	2004/0220899	BARNEY, et al.	11/2004
FD	2004/0030731	IFTODE, et al.	02/2004
FD	2002/0156895	BROWN	10/2002
FD	2003/0177422	TAROUKHINE, et al.	09/2003
FD	2002/0120505	HENKIN, et al.	08/2002
FD	2002/0033844	LEVY, et al.	03/2002

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
FD			WO 2004107151		MOORE, et al.	09/2004		
FD			WO 0225420		MOLANDER, et al.	03/2002		
FD			CN 1421800		QIU	11/2001		
FD			GB 2329492A		DEVORCHIK, et al.	07/1998		

Examiner Signature		Date Considered	11/13/06
--------------------	---	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/13/2005

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<b>Complete If Known</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/692,324</td> </tr> <tr> <td>Filing Date</td> <td>October 23, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>T.P. MCKEE</td> </tr> <tr> <td>Group An Unit</td> <td>TBA</td> </tr> <tr> <td>Examiner Name</td> <td>TBA</td> </tr> <tr> <td>Attorney Docket Number</td> <td>003797.01266</td> </tr> </table>		Application Number	10/692,324	Filing Date	October 23, 2003	First Named Inventor	T.P. MCKEE	Group An Unit	TBA	Examiner Name	TBA	Attorney Docket Number	003797.01266
Application Number	10/692,324														
Filing Date	October 23, 2003														
First Named Inventor	T.P. MCKEE														
Group An Unit	TBA														
Examiner Name	TBA														
Attorney Docket Number	003797.01266														
Sheet	5	of	14												

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
FD		Lee, J., "An End-User Perspective on File-Sharing Systems," Communications of the ACM 46(2):49-53, February 2003.	
FD		Ohtani, A., et al., "A File Sharing Method For Storing Area Network and Its Performance Verification," NEC Res. & Develop. 44(1):85-90, January 2003.	
FD		H. Weinreich, et al., "The Look of the Link – Concepts of the User Interface of Extended Hyperlinks," Proceedings of the Twelfth ACM Conference on Hypertext and Hypermedia, Hypertext '01, Aarhus, Denmark, August 2001, pp. 19-28.	
FR		Seltzer, M., et al., "Journaling Versus Soft Updates: Asynchronous Meta-data Protection in File Systems," Proceedings of the 2000 USENIX Technical Conference, San Diego, CA, June 18-23, 2000, pp. 71-84.	
FR		R. Rao, et al., "Rich Interaction in the Digital Library," Communications of the ACM 38(4):29-39.1995.	
FR		Piernas, J., et al., "DualFS: A New Journaling File System Without Meta-Data Duplication," Conference Proceedings of the 2002 International Conference on SUPERCOMPUTING, New York, June 22-26, 2002, p. 137-146.	
FR		Manber, U., and S. Wu, "GLIMPSE: A Tool to Search Through Entire File Systems," Proceedings of USENIX Winter 1994 Conference, San Francisco, Ca, Jan. 17-21, 1994.	
Examiner Signature			Date Considered
			1/13/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

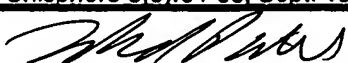
Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0837-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/692,324
		Filing Date	October 23, 2003
		First Named Inventor	T.P. MCKEE
		Group Art Unit	TBA
		Examiner Name	TBA
Sheet 6 of 14	Attorney Docket Number	003797.01266	

FP	Coster, R., and D. Svensson, "Inverted File Search Algorithms for Collaborative Filtering," Proceedings of the 25th Annual International ACM SIGIR Conference on Research and Development in Information Retrieval, Tampere, Finland, August 11-15, 2002.		
FP	Gifford, D.K., et al., "Semantic File Systems," Proceedings of the 13th ACM Symposium on Operating Systems Principles, Pacific Grove CA., September 1991, pp. 16-25.		
FP	Blair, C., and G.E. Monahan, "Optimal Sequential File Search: A Reduced-State Dynamic Programming Approach," European Journal of Operational Research 86(2):358-365, 1995.		
FP	Clay, L.M., et al., "Posting Protocol for Improved Keyword Search Success in Peer-to-Peer File Sharing Systems, Proceedings of SPIE-Int. Soc. Opt. Eng., Santa Clara, CA, January 23-24, 2003, Vol. 5019, pp. 138-149.		
FP	Na, J., and V. Rajaravivarma, "Multimedia File Sharing in Multimedia Home or Office Business Networks," Proceedings of the 35th Southeastern Symposium on System Theory, Morgantown, W. Va., march 16-18, 2003, pp. 237-241.		
FP	Kwon G., and K.D. Ryu, "An Efficient Peer-to-Peer File Sharing Exploiting Hierarchy and Asymmetry," Proceedings of the 2003 Symposium on Applications and the Internet, Orlando, Fla., Jan. 27-31, 2003, pp. 226-233.		
FP	Qian, J., et al., "ACLA: A Framework for Access Control List (ACL) Analysis and Optimization," Fifth Joint Working Conference on Communications and Multimedia Security, Darnstadt, Germany, May 21-22, 2001, pp. 197-211.		
FP	Rao, J.R., "Some Performance Measures of File Sharing on Multiple Computer Systems," Proceedings of the Conference on Modeling and Simulation, Vol. 6, Part I, Pittsburgh, Penn., April 24-25, 1976, pp. 527-530.		
FP	Reinauer, R., "UNIX System V.# Remote File Sharing Capabilities and Administration," Unisphere 8(6):64-68, Sept. 1988.		
Examiner Signature		Date Considered	1/13/06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2



Please type a plus sign (+) inside this box → ☐


PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0537-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/692,324
		Filing Date	October 23, 2003
		First Named Inventor	T.P. MCKEE
		Group Art Unit	TBA
		Examiner Name	TBA
Sheet 7 of 14	Attorney Docket Number	003797.01266	

FP	Templin, P.J., Jr., "Providing a Centralized File Sharing Resource at Bucknell University", Proceedings of the User Services Conference for College and University Computing Services Organization, Bloomington, Ind., Oct. 25-28, 1998, pp. 289-292.	
PP	Yamai, N. et al., "NFS-Based Secure File Sharing Over Multiple Administrative Domains With Minimal Administration," Systems and Computers in Japan 33(14):50-58, Dec. 2002.	
FP	Yong Kyu LEE, et al., Metadata Management of the SANTopia File System, Proceedings of the 8th International Conference on Parallel and Distributed Systems (ICPADS 2001), Kyoungju City, Korea, June 26-29, 2001, pp. 492-499, IEEE Computer Society, 2001, ISBN 0-7695-1153-8.	
FP	Horst F. WEDDE, et al., A Universal Framework for Managing Metadata in the Distributed Dragon Slayer System, Proceedings of the 26th EUROMICRO Conference (EUROMICRO'00), Volume 2, Maastricht, The Netherlands, September 5-7, 2000, pp. 96-101, IEEE Computer Society, 2000, ISBN 1089-6503.	
FP	Jolon FAICHNEY, et al., Goldleaf Hierarchical Document Browser, Second Australian User Interface Conference (AUIC'01), Gold Coast, Queensland, Australia, January 29 - February 1, 2001, pp. 13-20, IEEE Computer Society, 2001, ISBN 0-7695-0969-X.	
FP	Dino ESPOSITO, New Graphical Interface: Enhance Your Programs with New Windows XP Shell Features, MSDN Magazine, November 2001, Vol. 16, No. 11.	
FP	Stuart YEATES, et al., Tag Insertion Complexity, Data Compression Conference, (DCC 2001), Snowbird, Utah, USA, March 27-29, 2001, pp. 243-252, IEEE Computer Society 2001, ISBN 1068-0314.	
FP	Bipin C. DESAI, et al., Resource Discovery: Modeling, Cataloguing and Searching, Seventh International Workshop on Database and Expert Systems Applications (DEXA '96), Zurich, Switzerland, September 9-10, 1996, pp. 70-75, IEEE-CS Press, 1996, ISBN 0-8186-7662-0.	

Examiner Signature		Date Considered	1/12/06
--------------------	---	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional). \* See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \* Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<h3 style="text-align: center;">Complete If Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/692,324</td> </tr> <tr> <td>Filing Date</td> <td>October 23, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>T.P. MCKEE</td> </tr> <tr> <td>Group Art Unit</td> <td>TBA</td> </tr> <tr> <td>Examiner Name</td> <td>TBA</td> </tr> <tr> <td>Attorney Docket Number</td> <td>003797.01266</td> </tr> </table>		Application Number	10/692,324	Filing Date	October 23, 2003	First Named Inventor	T.P. MCKEE	Group Art Unit	TBA	Examiner Name	TBA	Attorney Docket Number	003797.01266
Application Number	10/692,324														
Filing Date	October 23, 2003														
First Named Inventor	T.P. MCKEE														
Group Art Unit	TBA														
Examiner Name	TBA														
Attorney Docket Number	003797.01266														
Sheet	8	of	14												

FD		Gulrukh AHANGER, et al., Automatic Composition Techniques for Video Production, IEEE Transactions on Knowledge and Data Engineering, November/December 1998, pp. 967-987, Vol. 10, No. 6, IEEE Computer Society, 1998, ISBN 1041-4347.	
FD		Jane HUNTER, An Overview of the MPEG-7 Description Language (DDL), IEEE Transactions on Circuits and Systems for Video Technology, June 2001, pp. 765-772, Vol. 11, No. 6, IEEE Computer Society, 2001, ISBN 1051-8215.	
FD		Philippe SALEMBIER, et al., MPEG-7 Multimedia Description Schemes, IEEE Transactions on Circuits and Systems for Video Technology, June 2001, pp. 748-759, Vol. 11, No. 6, IEEE Computer Society, 2001, ISBN 1051-8215.	
FD		Thomas SIKORA, The MPEG-7 Visual Standard for Content Description - An Overview, IEEE Transactions on Circuits and Systems for Video Technology, June 2001, pp. 696-702, Vol. 11, No. 6, IEEE Computer Society, 2001, ISBN 1051-8215.	
FD		B.S. Manjunath, Et al., Color and Texture Descriptors, IEEE Transactions on Circuits and Systems for Video Technology, June 2001, pp. 703-715, Vol. 11, No. 6, IEEE Computer Society, 2001, ISBN 1051-8215.	
FD		"Predefined Properties" <a href="http://help.sap.com/saphelp-ep50sp5/helpdata/en/a/9a4a3b80f2ec40aa7456bc87a94259/content.htm">http://help.sap.com/saphelp-ep50sp5/helpdata/en/a/9a4a3b80f2ec40aa7456bc87a94259/content.htm</a>	
FD		"Info Vision Information Management System" <a href="http://66.102.7.104/search?q=cache:mIXV6K6sQOQJ:www.amlib.net/products/infovision.htm+customised+multi+property+file+navigation&amp;hl=en">http://66.102.7.104/search?q=cache:mIXV6K6sQOQJ:www.amlib.net/products/infovision.htm+customised+multi+property+file+navigation&amp;hl=en</a>	
FD		"TdcFolderListView component" <a href="http://www.appcontrols.com/manuals/diskcontrols/index.htm1?tdcfolderlistview.htm">http://www.appcontrols.com/manuals/diskcontrols/index.htm1?tdcfolderlistview.htm</a>	
FD		"Previewing Files" <a href="http://developer.apple.com/documentation/QuickTime/INMAC/QT/iqMovieToolbox.1a.htm">http://developer.apple.com/documentation/QuickTime/INMAC/QT/iqMovieToolbox.1a.htm</a>	

Examiner Signature		Date Considered	11/13/06
--------------------	--	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/13/2005

Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0537-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 9 of 14

Complete if Known

Application Number	10/692,324
Filing Date	October 23, 2003
First Named Inventor	T.P. MCKEE
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	003797.01266

		"Text File Previewer 2.0 Beta" <a href="http://www.freedownloadscenter.com/Utilities/Text-Viewers/Text-File-Reviewer.html">http://www.freedownloadscenter.com/Utilities/Text-Viewers/Text-File-Reviewer.html</a>	
FD		"Your Next OS: Windows 2006?" <a href="http://www.pcworld.com/news/article/0,aid,113631,00.asp">http://www.pcworld.com/news/article/0,aid,113631,00.asp</a>	
FP		"GetOpenFileName Function," downloaded from < <a href="http://msdn.microsoft.com">http://msdn.microsoft.com</a> >; date of first publication prior to March 28, 2005; 2 pages.	
FD		"GetSaveFileName Function," downloaded from < <a href="http://msdn.microsoft.com">http://msdn.microsoft.com</a> >; date of first publication prior to March 28, 2005; 2 pages.	
FD		"Using Common Dialog Boxes," downloaded from < <a href="http://msdn.microsoft.com">http://msdn.microsoft.com</a> >; date of first publication prior to March 28, 2005; 8 pages.	
FP		"How to Use a Common Dialog File Open Dialog with Win32 API," downloaded from < <a href="http://support.microsoft.com">http://support.microsoft.com</a> >; date of first publication prior to March 28, 2005; 3 pp.	
FD		"Creating an Enhanced Metafile," downloaded from < <a href="http://msdn.microsoft.com">http://msdn.microsoft.com</a> >; date of first publication prior to March 28, 2005; 2 pages.	
FD		"Common Dialog Box Library," downloaded from < <a href="http://msdn.microsoft.com">http://msdn.microsoft.com</a> >; date of first publication prior to March 28, 2005; 8 pages.	
FD		"OPENFILENAME Structure," downloaded from < <a href="http://msdn.microsoft.com">http://msdn.microsoft.com</a> >; date of first publication prior to March 28, 2005; 7 pages.	
FD		"Open and Save as Dialog Boxes," downloaded from < <a href="http://msdn.microsoft.com">http://msdn.microsoft.com</a> >; date of first publication prior to March 29, 2005; 9 pages.	
FD		"Customizing common dialog boxes," downloaded from <a href="http://msdn.microsoft.com">http://msdn.microsoft.com</a> ; date for first publication prior to April 20, 2005, 4 pages.	
FD		G.D. VENOLIA, et al., Supporting Email Workflow. Microsoft Research Technical Report MSR-TR-2001-88. Revised December 2001 (Original September 2001). Microsoft Corporation, Redmond, WA.	

Examiner  
Signature

*[Signature]*

Date  
Considered

11/17/06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/13/2005


Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete If Known</b>	
		Application Number	10/692,324
		Filing Date	October 23, 2003
		First Named Inventor	T.P. MCKEE
		Group Art Unit	TBA
		Examiner Name	TBA
Sheet	10	of	14
		Attorney Docket Number	003797.01266

FP		G.D. VENOLIA and C. NEUSTAEDTER. Understanding Sequence and Reply Relationships within Email Conversations: A Mixed-Model Visualization. Microsoft Research Technical Report MSR-TR-2002-102. Sept. 23, 2002 (Revised Jan. 13, 2003).	
FD		Microsoft Digital Image Suite User's Manual, Version 9.0. Pages 105-118, Available: <a href="http://www.microsoft.com/products/imaging/guides/SuiteManual.pdf">http://www.microsoft.com/products/imaging/guides/SuiteManual.pdf</a> , April 30, 2004.	
FP		"Using Tags to Organize Your Photos.", Adobe Photoshop Album 2.0 Tutorial, Available: <a href="http://www.adobe.com/digitalimag/tips/phsaltaggin/pdfs/phsaltaggin.pdf">http://www.adobe.com/digitalimag/tips/phsaltaggin/pdfs/phsaltaggin.pdf</a> , April 30, 2004.	
PP		Examples of dialogs user interfaces; date of first publication prior to March 31, 2005; 8 pages.	
FP		"Visually Theming and Styling Your Applications and Documents" (CLI 308); downloaded from < <a href="http://msdn.microsoft.com/longhorn/pdcmaterials/pdctalksavalon/">http://msdn.microsoft.com/longhorn/pdcmaterials/pdctalksavalon/</a> >; date of first publication prior to March 31, 2005; 34 pages.	
FD		New User Interface Possibilities in Longhorn (CLI 304); downloaded from < <a href="http://msdn.microsoft.com/longhorn/pdcmaterials/pdctalksavalon/">http://msdn.microsoft.com/longhorn/pdcmaterials/pdctalksavalon/</a> >; date of first publication prior to March 31, 2005; 45 pages.	
FP		Windows Forms: Exploiting Windows Longhorn" Features from Within Your Application" (CLI 391); downloaded from <a href="http://msdn.microsoft.com/longhorn/pdcmaterials/pdctalksavalon/">http://msdn.microsoft.com/longhorn/pdcmaterials/pdctalksavalon/</a> ; date of first publication prior to March 31, 2005; 27 pages.	
FP		MessageBox Function; downloaded from < <a href="http://msdn.microsoft.com/">http://msdn.microsoft.com/</a> >; date of first publication prior to March 31, 2005; 4 pages.	
FP		Creating and Installing Theme Files; downloaded from < <a href="http://msdn.microsoft.com/">http://msdn.microsoft.com/</a> >; date of first publication prior to February 21, 2005; 4 pages.	
Examiner Signature			Date Considered
			1/13/06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/18/2005

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0537-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<b>Complete if Known</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/692,324</td> </tr> <tr> <td>Filing Date</td> <td>October 23, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>T.P. MCKEE</td> </tr> <tr> <td>Group Art Unit</td> <td>TBA</td> </tr> <tr> <td>Examiner Name</td> <td>TBA</td> </tr> <tr> <td>Attorney Docket Number</td> <td>003797.01266</td> </tr> </table>		Application Number	10/692,324	Filing Date	October 23, 2003	First Named Inventor	T.P. MCKEE	Group Art Unit	TBA	Examiner Name	TBA	Attorney Docket Number	003797.01266
Application Number	10/692,324														
Filing Date	October 23, 2003														
First Named Inventor	T.P. MCKEE														
Group Art Unit	TBA														
Examiner Name	TBA														
Attorney Docket Number	003797.01266														
Sheet	11	of	14												

FD		"About Dialog Boxes"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to February 21, 2005; 10 pages.	
FD		"Property Sheets and Inspectors"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to February 21, 2005; 6 pages.	
FD		"PROPSHEETPAGE"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to February 21, 2005; 3 pages.	
FD		"DialogProc Function"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to February 21, 2005; 2 pages.	
FD		"Creating Wizards"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 17 pages.	
FD		"Property Sheets"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 7 pages.	
FD		"Property Sheet Reference"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 5 pages.	
FD		"DRAWITEMSTRUCT Structure"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to February 25, 2005; 3 pages.	
FD		"Using Buttons"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to February 25, 2005; 5 pages.	
FD		Button Messages"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to February 25, 2005; 4 pages.	
FD		"Button Styles"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to February 25, 2005; 2 pages.	
FD		"CreateWindow Function"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to February 25, 2005; 5 pages.	
FD		"Using Dialog Boxes"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 8 pages.	

Examiner Signature		Date Considered	11/13/06
--------------------	--	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/13/2005

Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0537-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 12 of 14

### Complete If Known

Application Number	10/692,324
Filing Date	October 23, 2003
First Named Inventor	T.P. MCKEE
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	003797.01266

FD	"CreatePropertySheetPage Function"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD	"DestroyPropertySheetPage Function"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD	"PropertySheet Function"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 2 pages.	
FD	"PropSheetPageProc Function"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 2 pages.	
FD	"PropSheetProc Function"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 2 pages.	
FD	"PSN_KILLACTIVE Notification"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD	"PSN_QUERYCANCEL Notification"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD	"PSN_RESET Notification"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD	"PSN_SETACTIVE Notification"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD	"PSN_TRANSLATEACCELERATOR Notification"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD	"PSN_WIZBACK Notification"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 3 pages.	
FD	"PSN_WIZFINISH Notification"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD	"PSN_WIZNEXT Notification"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 3 pages.	
FD	"PSM_ADDPAGE Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 2 pages.	

Examiner Signature		Date Considered	1/13/06
--------------------	---	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional). \* See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \* Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/18/2005

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0537-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: center;">Complete If Known</th> </tr> </thead> <tbody> <tr> <td style="width: 50%;">Application Number</td> <td>10/592,324</td> </tr> <tr> <td>Filing Date</td> <td>October 23, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>T.P. MCKEE</td> </tr> <tr> <td>Group Art Unit</td> <td>TBA</td> </tr> <tr> <td>Examiner Name</td> <td>TBA</td> </tr> <tr> <td>Attorney Docket Number</td> <td>003797.01266</td> </tr> </tbody> </table>		Complete If Known		Application Number	10/592,324	Filing Date	October 23, 2003	First Named Inventor	T.P. MCKEE	Group Art Unit	TBA	Examiner Name	TBA	Attorney Docket Number	003797.01266
Complete If Known																	
Application Number	10/592,324																
Filing Date	October 23, 2003																
First Named Inventor	T.P. MCKEE																
Group Art Unit	TBA																
Examiner Name	TBA																
Attorney Docket Number	003797.01266																
Sheet	13	of	14														

FD		"PSM_IDTOINDEX Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_INDEXTOHWND Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_INDEXTOID Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_INDEXTOPAGE Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_PAGETOINDEX Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_PRESSBUTTON Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_QUERYSIBLINGS Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_SETCURSEL Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_SETCURSELID Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_SETFINISHTEXT Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_SETHADERTITLE Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_SETWIZBUTTONS Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 2 pages.	
FD		"PROPSHEETHEADER Structure"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 5 pages.	
FD		"PROPSHEETPAGE Structure"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 4 pages.	

Examiner Signature		Date Considered	11/13/04
--------------------	--	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/13/2005

Please type a plus sign(+) inside this box → +


PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/692,324
				Filing Date	October 23, 2003
				First Named Inventor	T.P. MCKEE
				Group Art Unit	TBA
				Examiner Name	TBA
				Attorney Docket Number	003797.01266
Sheet	14	of	14		

FD		"PSHNOTIFY Structure"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"BCM_GETIDEALSIZE Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		"PSM_SETTITLE Message"; downloaded from <http://msdn.microsoft.com>; date of first publication prior to March 31, 2005; 1 page.	
FD		A.T. McCray, et al., Extending the role of Metadata in a Digital Library System, 05/19/1999, IEEE, pages 190-199.	
FD		Alexa T. McCray, et al., Principles for Digital Library Development, May 2001, ACM, pages 49-53.	
FD		International Search Report of WO2004/097638 A1 (McKee, et al.) dated November 11, 2004.	

Examiner Signature		Date Considered	1/13/04
--------------------	---	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Copied from 10693324 on 12/18/2005